

U.S. Foreign Account Tax Compliance Act (FATCA) Declaration Form for Entities

Please consult your tax, legal and/ or other professional advisors if you have any question on or in relation to FATCA, any of the US IRS Forms* or this Declaration Form.

Entity Name	
UEN No	
Registered Address	

1. Are you incorporated, established, constituted or organized in the United States of America?

- ☐ Yes. (Please complete and submit US IRS Form W-9 together with this Declaration Form)
- ☐ No. (Please proceed to Question 2)

2. Which of the following statements best describes your FATCA status?

Please select only 1 of the following

- ☐ **Participating Foreign Financial Institution (FFI)**
You are a Participating FFI or Registered deemed-compliant FFI and
Your GIIN (Global Intermediary Identification Number) is _____
- ☐ **Non-Participating Foreign Financial Institution**
- ☐ **Other types of Foreign Financial Institutions**
Please complete and submit the appropriate US IRS Form W-8
- ☐ **Entity wholly owned by Exempt Beneficial Owners**
You are any of the following Public Sector Entity:
 - Central Banks
 - Government Agencies
 - Statutory Boards
 - Entities owned by Statutory Boards
 - Public Sector entities
 - International Organizations

☐ **Non-Profit organization**

You are a Non-Profit Organization established and maintained exclusively for:

- Religious, charitable, scientific, artistic, cultural or educational purposes, or
- Professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civil league or an organization operated exclusively for promotion of social welfare

The Non-Profit Organization fulfills all the following conditions:

- Exempt from income tax in its jurisdiction of residence;
- Has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- Its formation documents or the local law do not permit the distribution of any income or assets to or for the benefit of a private person or non-charity entity except for payment as reasonable compensation for services or distribution in accordance with the charity's charitable activities; and
- Its formation documents or the local law require all of the charity's assets to be distributed to a government entity or other non-profit organization upon the charity's dissolution or liquidation

☐ **Publicly traded Non-Financial Foreign Entity (NFFE) or NFFE affiliate of a publicly traded corporation**

Your stock is regularly traded on one or more established securities markets or

You are an affiliate of an entity the stock of which is regularly traded on an established securities market

☐ **Active Non-Financial Foreign Entity (Active NFFE)**

- Your primary line of business is _____
- You derive at least 50% of your gross income (for the previous calendar year) from these business activities and not from passive income such as investments, dividends, interests, rents or royalties, and
- At least 50% of the weighted average percentage of assets held by you (tested quarterly, using fair market value or book value of assets as reflected in your balance sheet) produce or are held to produce income for these business activities

☐ **Passive Non-Financial Foreign Entity (Passive NFFE)**

You derive more than 50% of your gross income (for the previous calendar year) from passive income such as investments, dividends, interests, rents or royalties, and

- You do not have substantial US owners who own at least 25% of your entity
- You do have substantial US owners who own at least 25% of your entity as detailed hereunder

Name	Address	US TIN

☐ **None of the above**

Please complete and submit the appropriate US IRS Form W-8

Declaration:

I hereby,

1. undertake to promptly:
 - a) notify your Bank if there is any change in any of the information provided above, in any event by not later than 30 days from the date of change; and
 - b) provide to your Bank such other information, documents or other evidence which may be required in connection with such change in any of the information provided above; and
2. represent, warrant and certify to Indian Bank that, as at the date of this Self-Certification Form:
 - a) all information provided in this Self-Certification Form is true, complete and accurate in all respects; and
 - b) the persons named and signing below are duly authorized to complete, sign and submit this Self-Certification Form.

Signature:	Signature:
Name:	Name:
Date:	Date:

*US IRS Forms refers to the US Internal Revenue Service (IRS) Forms W-9, W-8BEN-E, W-8IMY, W-8EXP and W-8ECI that are available from the US IRS website. You are advised to always obtain your own legal and tax advice when filling up US IRS Forms.